STIC Biotechnology Systems Branch

RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number:

Source:

Date Processed by STIC:

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
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FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE <u>CHECKER</u> <u>VERSION 4.4.0 PROGRAM</u>, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom. Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (<http://www.uspto.gov/ebc/efs/downloads/documents.htm>, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- 3. Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05):
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 Alexandria, VA 22314

Revised 01/10/06



IFWP

RAW SEQUENCE LISTING DATE: 05/03/2006
PATENT APPLICATION: US/10/576,751 TIME: 11:24:31

Input Set : E:\04-466-B 400 206PCT SeqListing.priority.txt Output Set: N:\CRF4\05032006\J576751.raw 3 <110> APPLICANT: Sirna Therapeutics, Inc. Polisky, Barry McSwiggen, James 5 7 <120> TITLE OF INVENTION: RNA Interference Mediated Inhibition Of Cholesteryl Ester Transfer Protein (CEPT) Gene Expression Using Short Interfering Nucleic Acid 8 9 (siNA) (pg.7-9) 11 <130> FILE REFERENCE: 04-466-B (400.206PCT) C--> 13 <140> CURRENT APPLICATION NUMBER: US/10/576,751 C- : 14 <141> CURRENT FILING DATE: 2006-04-21 16 <150> PRIOR APPLICATION NUMBER: US 10/864,044 Dres Not Comply 17 <151> PRIOR FILING DATE: 2004-06-09 Corrected Diskette Needed 19 <150> PRIOR APPLICATION NUMBER: PCT/US04/16390 20 <151> PRIOR FILING DATE: 2004-05-24 22 <150> PRIOR APPLICATION NUMBER: US 10/826,966 23 <151> PRIOR FILING DATE: 2004-04-16 25 <150> PRIOR APPLICATION NUMBER: US 10/757,803 26 <151> PRIOR FILING DATE: 2004-01-14 28 <150> PRIOR APPLICATION NUMBER: US 10/720,448 29 <151> PRIOR FILING DATE: 2003-11-24 31 <150> PRIOR APPLICATION NUMBER: US 10/693,059 32 <151> PRIOR FILING DATE: 2003-11-23 34 <150> PRIOR APPLICATION NUMBER: US 10/444,853 35 <151> PRIOR FILING DATE: 2003-05-23 37 <150> PRIOR APPLICATION NUMBER: PCT/US03/05346 38 <151> PRIOR FILING DATE: 2003-02-20 40 <150> PRIOR APPLICATION NUMBER: PCT/US03/05028 41 <151> PRIOR FILING DATE: 2003-02-20

5/3/2006

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RAW SEQUENCE LISTING DATE: 05/03/2006 PATENT APPLICATION: US/10/576,751 TIME: 11:24:31

Input Set : E:\04-466-B_400 206PCT SeqListing.priority.txt

Output Set: N:\CRF4\05032006\J576751.raw

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RAW SEQUENCE LISTING DATE: 05/03/2006
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Input Set : E:\04-466-B_400 206PCT SeqListing.priority.txt

Output Set: N:\CRF4\05032006\J576751.raw

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. 34.-

DATE: 05/03/2006 RAW SEQUENCE LISTING PATENT APPLICATION: US/10/576,751 TIME: 11:24:31

Input Set : E:\04-466-B 400 206PCT SeqListing.priority.txt

- Output Set: N:\CRF4\05032006\J576751.raw 214 <400> SEQUENCE: 10 19 215 ugggcaaugc ccaugccug 218 <210> SEQ ID NO: 11 219 <211> LENGTH: 19 220 <212> TYPE: RNA 221 <213> ORGANISM: Artificial Sequence √ 223 <220> FEATURE: 224 <2235 OTHER INFORMATION: Description of Artificial Sequence: Target/siNA sense 226 <400> SEQUENCE: 11 19 227 gcuccaaagg caccucgca 230 <210> SEQ ID NO: 12 231 <211> LENGTH: 19 232 <212> TYPE: RNA 233 <213> ORGANISM: Artificial Sequence 235 <220> FEATURE: 236 <223> OTHER INFORMATION: Description of Artificial Sequence: Target/siNA sense 238 <400> SEQUENCE: 12 239 acgaggcagg caucgugug 242 <210> SEQ ID NO: 13 243 <211: LENGTH: 19 244 <212> TYPE: RNA 245 <213> ORGANISM: Artificial Sequence 247 <220> FEATURE: 248 <223> OTHER INFORMATION: Description of Artificial Sequence: Target/siNA sense 250 <400> SEQUENCE: 13 251 gccgcaucac caagccugc 19 254 <210> SEQ ID NO: 14 255 <211> LENGTH: 19 256 <212> TYPE: RNA 257 <213> ORGANISM: Artificial Sequence 259 <220> FEATURE:

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RAW SEQUENCE LISTINGPATENT APPLICATION: **US/10/576,751**DATE: 05/03/2006

TIME: 11:24:31

Input Set : E:\04-466-B 400 206PCT SeqListing.priority.txt

Output Set: N:\CRF4\05032006\J576751.raw

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19

359 uguccaucgc cagcagcca

| <210> 209 <211> 21 <212> (RNA) PIS USe "(L" in Stead of |
|---|
| <212> (RNA) <213> Artificial Sequence (1) IN RNA Sequence (220> |
| <220> <223> Description of Artificial Sequence: Target/siNA sense |
| <220> |
| <222> (20)(21) <223> n stands for thymidine ITVAII |
| <220> <221> misc_feature <222> (20)(21) <223> n stands for thymidine Response <400> 209 ugacugcuac cugucuuucn n 21 (URACIL) |
| ~ "N" must equal "(L", instead |
| = of "£" in RNA sequences |

The type of errors shown exist throughout the Sequence Listing. Please check subsequent sequences for similar errors.

RAW SEQUENCE LISTING ERROR SUMMARY DATE: 05/03/2006
PATENT APPLICATION: US/10/576,751 TIME: 11:24:32

Input Set : E:\04-466-B 400 206PCT SeqListing.priority.txt

 $\{x^{(i)}\}_{i=1}^n$

Output Set: N:\CRF4\05032006\J576751.raw

Please Note:

Use of n and/or Xaa have been detected in the Sequence Listing. Please review the Sequence Listing to ensure that a corresponding explanation is presented in the <220> to <223> fields of each sequence which presents at least one n or Xaa.

Seg#:209; N Pos. 20,21 Seq#:210; N Pos. 20,21 Seq#:211; N Pos. 20,21 Seq#:212; N Pos. 20,21 Seq#:213; N Pos. 20,21 Seq#:214; N Pos. 20,21 Seq#:215; N Pos. 20,21 Seq#:216; N Pos. 20,21 Seq#:217; N Pos. 20,21 Seq#:218; N Pos. 20,21 Seq#:219; N Pos. 20,21 Seq#:220; N Pos. 20,21 Seq#:221; N Pos. 20,21 / Seq#:222; M Pos. 20,21 Seq#:223; N Pos. 20,21 Seq#:224; N Pos. 20,21 Seq#:225; N Pos. 20,21 Seq#:226; N Pos. 20,21 Seq#:227; N Pos. 20,21 Seq#:228; N Pos. 20,21 Seq#:229; N Pos. 20,21 Seq#:230; N Pos. 20,21 Seg#:231; N Pos. 20,21 Seq#:232; N Pos. 20,21 Seq#:233; N Pos. 20,21 Seq#:234; N Pos. 20,21 Seq#:235; N Pos. 20,21 Seq#:236; N Pos. 20,21 Seq#:237; N Pos. 20,21 Seq#:238; N Pos. 20,21 Seq#:239; N Pos. 20,21 Seq#:240; N Pos. 20,21 Seq#:241; N Pos. 20,21 Seq#:242; N Pos. 20,21 Seq#:243; N Pos. 20,21 Seq#:244; N Pos. 20,21 Seq#:245; N Pos. 20,21 Seq#:246; N Pos. 20,21 Seq#:247; N Pos. 20,21 Seq#:248; N Pos. 20,21 Seq#:249; N Pos. 20,21 Seq#:250; N Pos. 20,21 Seq#:251; N Pos. 20,21 Seq#:252; N Pos. 20,21

RAW SEQUENCE LISTING ERROR SUMMARY DATE: 05/03/2006
PATENT APPLICATION: US/10/576,751 TIME: 11:24:32

Input Set : E:\04-466-B_400 206PCT SeqListing.priority.txt

Output Set: N:\CRF4\05032006\J576751.raw

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RAW SEQUENCE LISTING ERROR SUMMARY DATE: 05/03/2006
PATENT APPLICATION: US/10/576,751 TIME: 11:24:32

Input Set : E:\04-466-B_400 206PCT SeqListing.priority.txt

Output Set: N:\CRF4\05032006\J576751.raw

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Seq#:311; N Pos. 1,2,3,4,5,6,7,8,9;10,11,12,13,14,15,16,17,18,19,20,21
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Seq#:321; N Pos. 20,21
Seq#:322; N Pos. 20,21
Seq#:326; N Pos. 23,24
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Invalid Line Length:

The rules require that a line not exceed 72 characters in length. This includes spaces.

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Seq#:307; Line(s) 6631
Seq#:308; Line(s) 6660
Seq#:311; Line(s) 6767
Seq#:312; Line(s) 6802
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 VERIFICATION SUMMARY
 DATE: 05/03/2006

 PATENT APPLICATION: US/10/576,751
 TIME: 11:24:32

Input Set : E:\04-466-B_400 206PCT SeqListing.priority.txt

Output Set: N:\CRF4\05032006\J576751.raw

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L:14 M:271 C: Current Filing Date differs, Replaced Current Filing Date
L:2621 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:209 after pos.:0
L:2639 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:210 after pos.:0
L:2657 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:211 after pos.:0
L:2675 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:212 after pos.:0
L:2693 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:213 after pos.:0
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                                                                           . .
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L:4520 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:254 after pos.:0
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Input Set: E:\04-466-B_400 206PCT SeqListing.priority.txt
Output Set: N:\CRF4\05032006\J576751.raw

L:4578 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:255 after pos.:0 L:4621 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:256 after pos.:0 L:4695 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:257 after pos.:0 L:4758 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:258 after pos.:0 L:4821 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:259 after pos.:0 L:4905 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:260 after pos.:0 L:4989 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:261 after pos.:0 L:5057 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:262 after pos.:0 L:5125 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:263 after pos.:0 L:5178 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:264 after pos.:0 L:5241 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:265 after pos.:0 L:5295 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:266 after pos.:0 L:5348 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:267 after pos.:0 L:5421 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:268 after pos.:0 L:5494 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:269 after pos.:0 L:5553 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:270 after pos.:0 L:5611 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:271 after pos.:0 L:5654 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:272 after pos.:0 L:5682 M:341 W: (46) "n" or "Xaa" ased, for SEQ ID#:273 after pos.:0 L:5711 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:274 after pos.:0 L:5739 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:275 after pos.:0 L:5767 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:276 after pos.:0 L:5796 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:277 after pos.:0 L:5824 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:278 after pos.:0 L:5852 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:279 after pos.:0 L:5880 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:280 after pos.:0 L:5898 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:281 after pos.:0 L:5916 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:282 after pos.:0 L:5934 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:283 after pos.:0 L:5956 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:284 after pos.:0 L:5974 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:285 after pos.:0 L:5992 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:286 after pos.:0 L:6010 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:287 after pos.:0 L:6029 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:288 after pos.:0 L:6072 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:289 after pos.:0 L:6110 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:290 after pos.:0 L:6153 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:291 after pos.:0 L:6206 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:292 after pos.:0 L:6254 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:293 after pos.:0 L:6297 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:294 after pos.:0 L:6340 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:295 after pos.:0 L:6373 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:296 after pos.:0 L:6396 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:297 after pos.:0 L:6419 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:298 after pos.:0 L:6442 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:299 after pos.:0 L:6465 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:300 after pos.:0 L:6488 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:301 after pos.:0 L:6511 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:302 after pos.:0 L:6535 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:303 after pos.:0 VERIFICATION SUMMARYDATE: 05/03/2006PATENT APPLICATION: US/10/576,751TIME: 11:24:32

Input Set: E:\04-466-B_400 206PCT SeqListing.priority.txt

Output Set: N:\CRF4\05032006\J576751.raw

L:6558 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:304 after pos.:0 L:6587 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:305 after pos.:0 L:6616 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:306 after pos.:0 L:6646 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:307 after pos.:0 L:6682 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:308 after pos.:0 L:6717 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:309 after pos.:0 L:6752 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:310 after pos.:0 L:6788 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:311 after pos.:0 L:6823 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:312 after pos.:0 L:6859 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:313 after pos.:0 L:6889 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:314 after pos.:0 L:6918 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:315 after pos.:0 L:6990 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:316 after pos.:0 L:7054 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:317 after pos.:0 L:7108 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:318 after pos.:0 L:7157 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:319 after pos.:0 L:7232 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:320 after pos.:0 L:7286 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:321 after pos.:0 L:7377 M334% W: (46) "n" or "Xaa" used, for SEQ ID#:322 after pos.:0 L:7431 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:326 after pos.:0